

Foundations of Computer Science

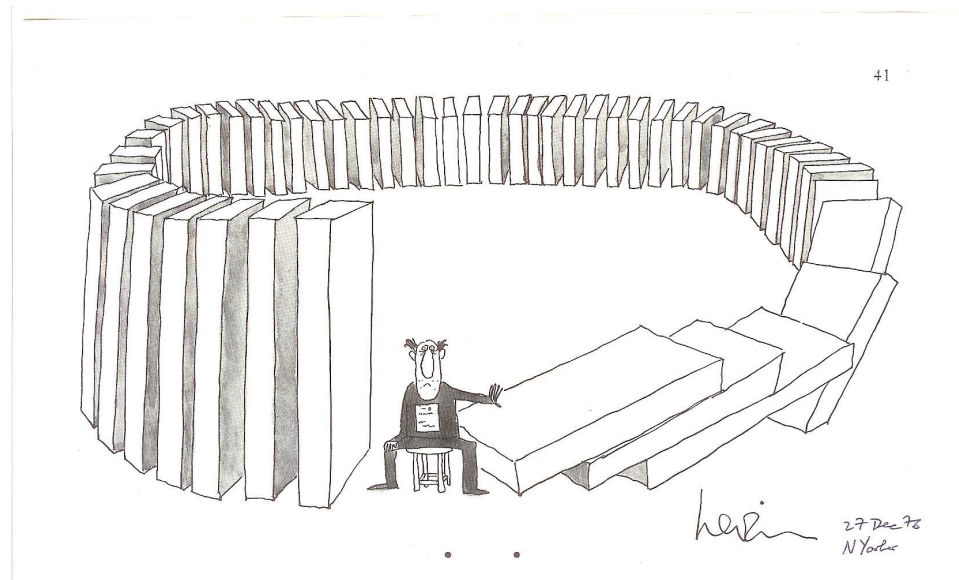
Lecture 6

Strong Induction

Strengthening the Induction Hypothesis

Strong Induction

Many Flavors of Induction



① Proving “for all”:

► $P(n) : 4^n - 1$ is divisible by 3. $\forall n : P(n)?$

► $P(n) : \sum_{i=1}^n i = \frac{1}{2}n(n+1)$. $\forall n : P(n)?$

► $P(n) : \sum_{i=1}^n i^2 = \frac{1}{6}n(n+1)(2n+1)$. $\forall n : P(n)?$

② Induction.

③ Induction and Well-Ordering.

Today: Twists on Induction

1 Solving Harder Problems with Induction

- $\sum_{i=1}^n \frac{1}{\sqrt{i}} \leq 2\sqrt{n}$

2 Strengthening the Induction Hypothesis

- $n^2 < 2^n$
- L -tiling.

3 Many Flavors of Induction

- Leaping Induction
 - Postage; $n^3 < 2^n$
- Strong Induction
 - Fundamental Theorem of Arithmetic
 - Games of Strategy

A Hard Problem: $\sum_{i=1}^n \frac{1}{\sqrt{i}} \leq 2\sqrt{n}$

Proof. $P(n) : \sum_{i=1}^n \frac{1}{\sqrt{i}} \leq 2\sqrt{n}$.

1: [**Base case**] $P(1)$ claims that $1 \leq 2 \times \sqrt{1}$, which is clearly T.

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Assume (induction hypothesis) $P(n)$ is T: $\sum_{i=1}^n \frac{1}{\sqrt{i}} \leq 2\sqrt{n}$.

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$$\stackrel{\text{IH}}{\leq} 2\sqrt{n} + \frac{1}{\sqrt{n+1}}$$

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$$\stackrel{\text{IH}}{\leq} 2\sqrt{n} + \frac{1}{\sqrt{n+1}}$$

Lemma. $2\sqrt{n} + 1/\sqrt{n+1} \leq 2\sqrt{n+1}$

Proof. By contradiction.

$$2\sqrt{n} + 1/\sqrt{n+1} > 2\sqrt{n+1}$$

$$\rightarrow 2\sqrt{n(n+1)} + 1 > 2(n+1)$$

$$\rightarrow 4n(n+1) > (2n+1)^2$$

$$\rightarrow 0 > 1 \quad \textbf{FISHY!}$$

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$$\stackrel{\text{IH}}{\leq} 2\sqrt{n} + \frac{1}{\sqrt{n+1}}$$

$$\stackrel{(\text{lemma})}{\leq} 2\sqrt{n+1}$$

So, $P(n+1)$ is T.

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What to do with the $2n + 1$?

Would be fine if $2n + 1 \leq 2^n$.

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With induction, it can be easier to prove a stronger claim.

Strengthen the Claim: $Q(n)$ Implies $P(n)$

$$Q(n) : (i) \ n^2 \leq 2^n \quad \text{AND} \quad (ii) \ 2n + 1 \leq 2^n.$$

$$\boxed{Q(4)} \rightarrow Q(5) \rightarrow Q(6) \rightarrow Q(7) \rightarrow Q(8) \rightarrow Q(9) \rightarrow \dots$$

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$$(i) \quad (n+1)^2 = n^2 + 2n + 1 \leq 2^n + 2^n = 2^{n+1} \quad \checkmark$$

(because from the induction hypothesis $n^2 \leq 2^n$ **and** $2n + 1 \leq 2^n$)

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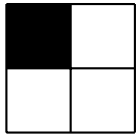
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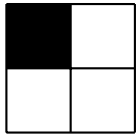
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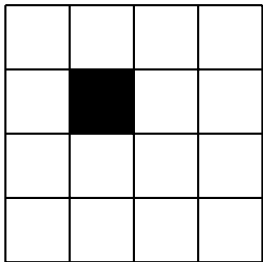
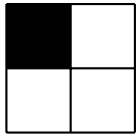
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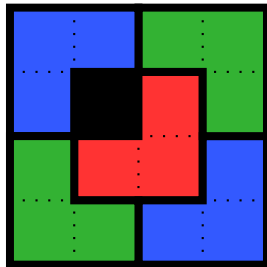
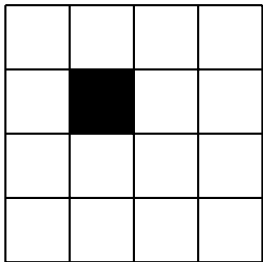
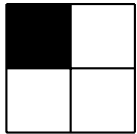
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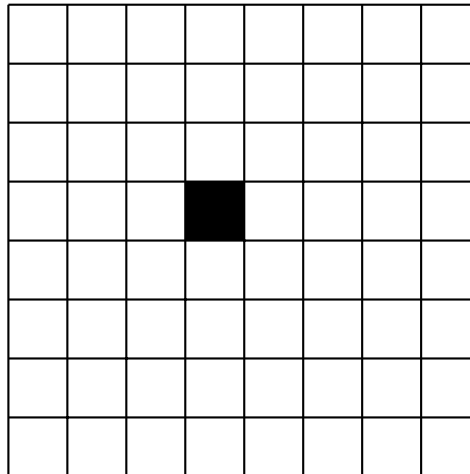
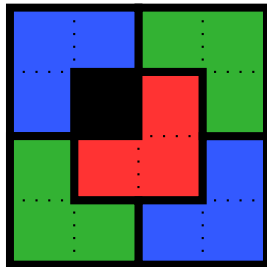
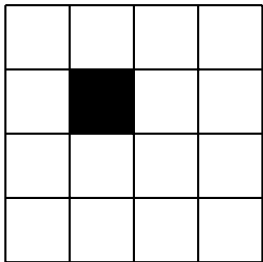
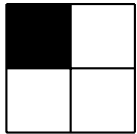
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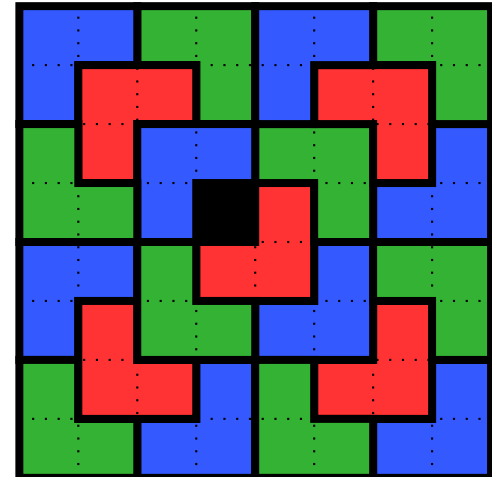
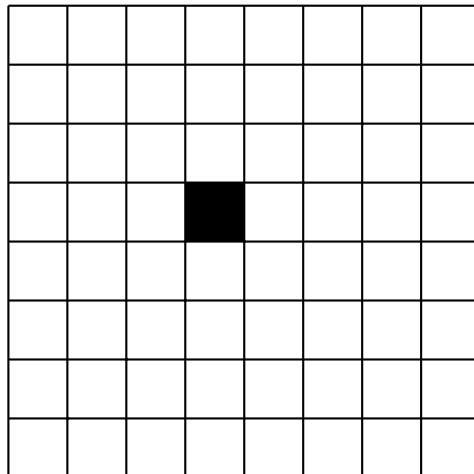
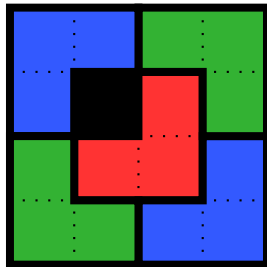
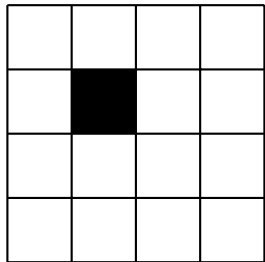
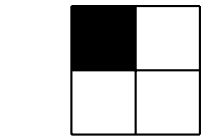
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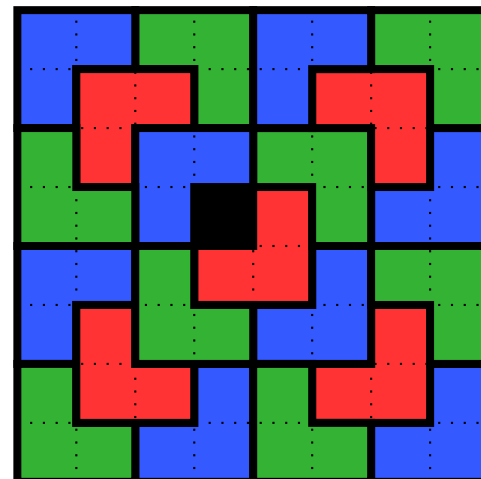
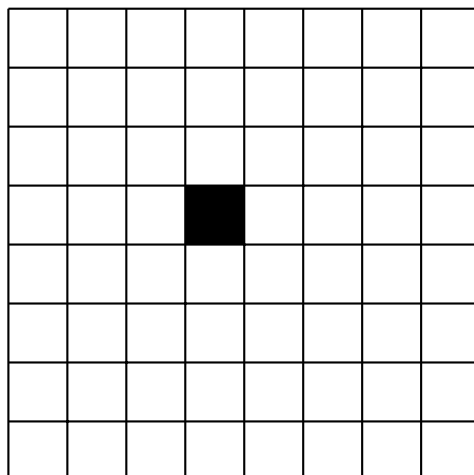
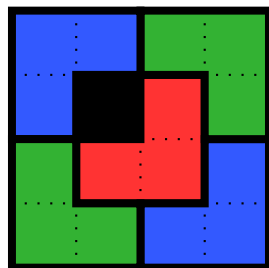
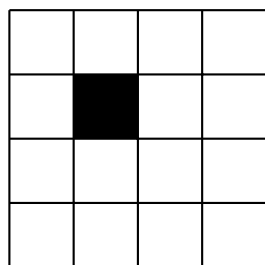
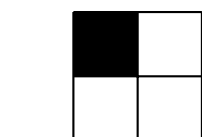
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L-Tile Land

Can you tile a $2^n \times 2^n$ patio missing a center square. You have only  – tiles?

TINKER!



$P(n)$: The $2^n \times 2^n$ grid minus a center-square can be *L*-tiled.

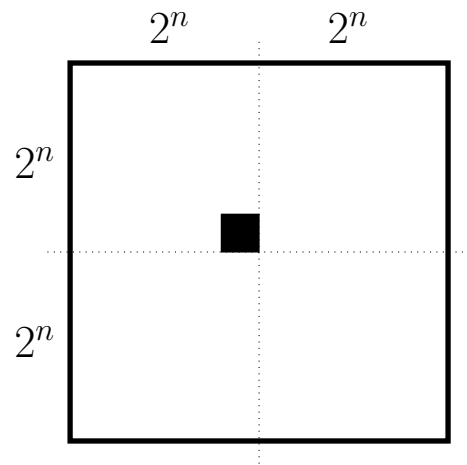
L -Tile Land: Induction Idea

Suppose $P(n)$ is T. What about $P(n + 1)$?

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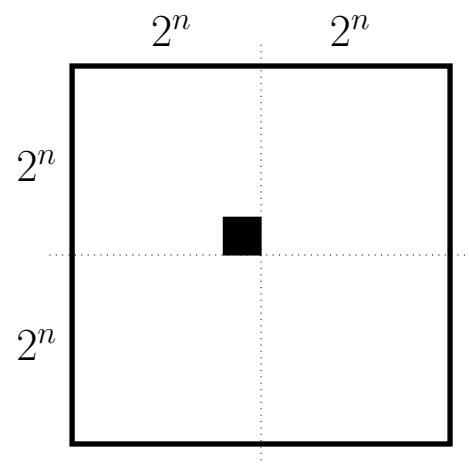
The $2^{n+1} \times 2^{n+1}$ patio can be decomposed into four $2^n \times 2^n$ patios.



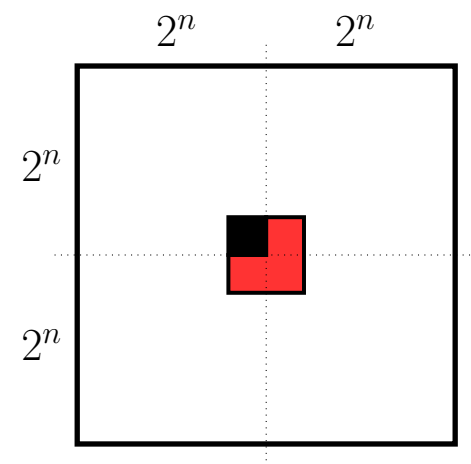
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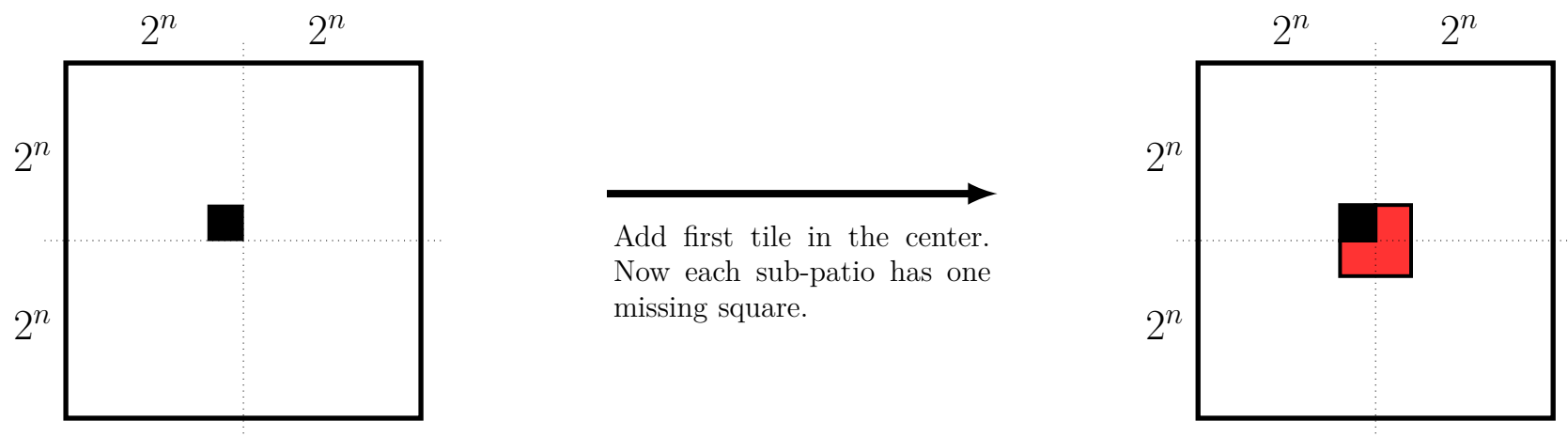
Add first tile in the center.
Now each sub-patio has one
missing square.



L-Tile Land: Induction Idea

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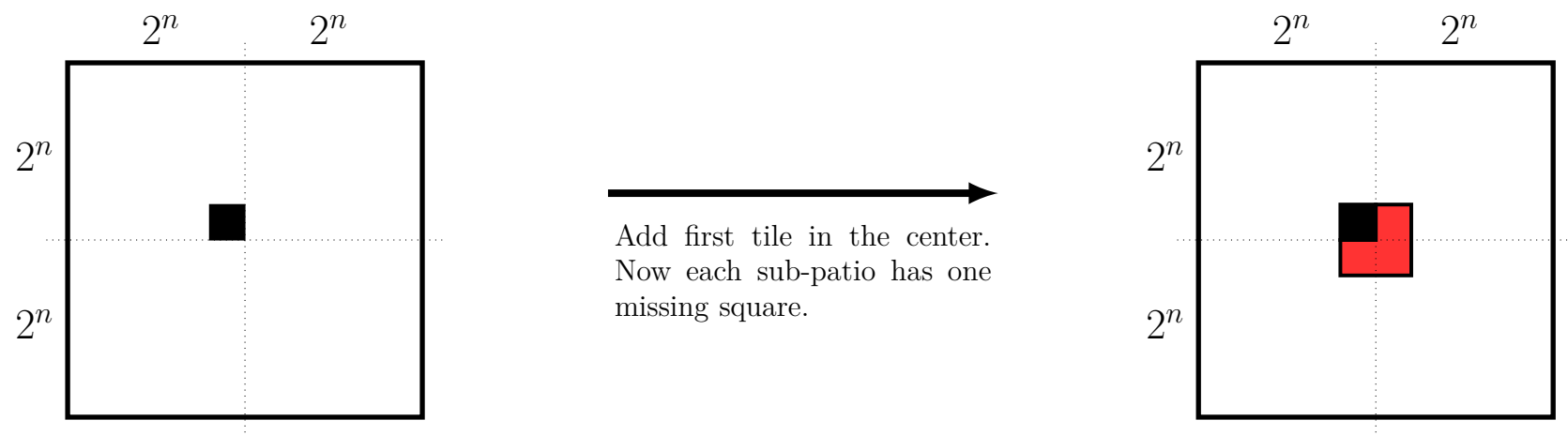


Problem. Corner squares are missing. $P(n)$ can be used only if center-square is missing.

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Solution. Strengthen claim to also include patios missing corner-squares.

$Q(n)$:

- (i) The $2^n \times 2^n$ grid missing a **center-square** can be *L*-tiled; AND
- (ii) The $2^n \times 2^n$ grid missing a **corner-square** can be *L*-tiled.

L -Tile Land: Induction Proof of Stronger Claim

Assume $Q(n)$: (i) The $2^n \times 2^n$ grid missing a **center-square** can be L -tiled; AND
(ii) The $2^n \times 2^n$ grid missing a **corner-square** can be L -tiled.

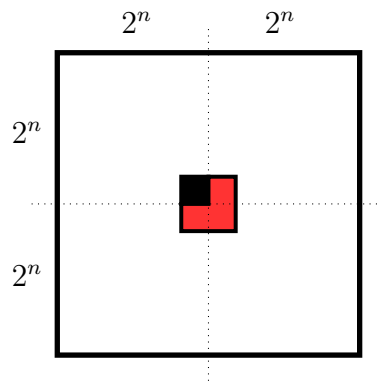
Induction step: Must prove two things for $Q(n+1)$, namely (i) *and* (ii).

L -Tile Land: Induction Proof of Stronger Claim

Assume $Q(n)$: (i) The $2^n \times 2^n$ grid missing a **center-square** can be L -tiled; AND
(ii) The $2^n \times 2^n$ grid missing a **corner-square** can be L -tiled.

Induction step: Must prove two things for $Q(n+1)$, namely (i) *and* (ii).

(i) Center square missing.



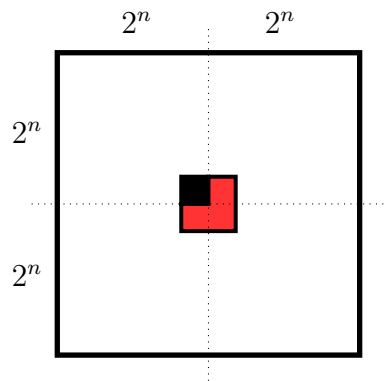
use $Q(n)$ with corner squares.

L-Tile Land: Induction Proof of Stronger Claim

Assume $Q(n)$: (i) The $2^n \times 2^n$ grid missing a **center-square** can be *L*-tiled; AND
(ii) The $2^n \times 2^n$ grid missing a **corner-square** can be *L*-tiled.

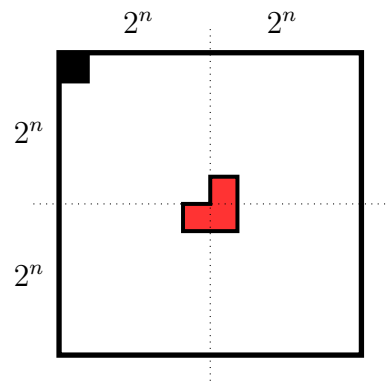
Induction step: Must prove two things for $Q(n + 1)$, namely (i) *and* (ii).

(i) Center square missing.



use $Q(n)$ with corner squares.

(ii) Corner square missing.



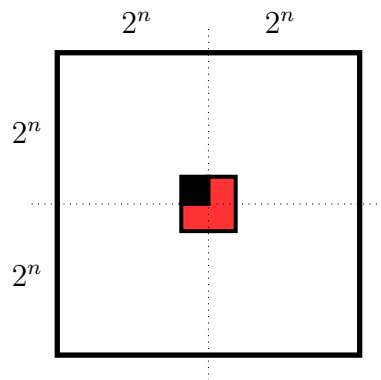
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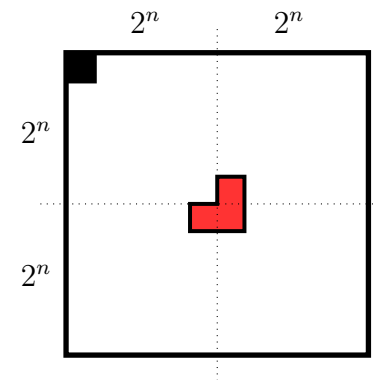
Induction step: Must prove two things for $Q(n+1)$, namely (i) *and* (ii).

(i) Center square missing.



use $Q(n)$ with corner squares.

(ii) Corner square missing.



use $Q(n)$ with corner squares.

Your task: Add base cases and complete the formal proof.

Exercise 6.4. What if the missing square is some random square? Strengthen further.

A Tricky Induction Problem

$$P(n) : n^3 < 2^n, \quad \text{for } n \geq 10. \quad (\text{Exercise 6.2})$$

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$$(n+2)^3 = n^3 + \mathbf{6}n^2 + \mathbf{12}n + \mathbf{8}$$

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$$< n^3 + \mathbf{n} \cdot n^2 + \mathbf{n}^2 \cdot n + \mathbf{n}^3 \quad (\mathbf{n} \geq 10 \rightarrow 6 < n; 12 < n^2; 8 < n^3)$$

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$$= 4n^3$$

A Tricky Induction Problem

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$$= 4n^3 < 4 \cdot 2^n = 2^{n+2} \quad (P(n) \text{ gives } n^3 < 2^n)$$

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$$P(n) \rightarrow P(n+2).$$

A Tricky Induction Problem

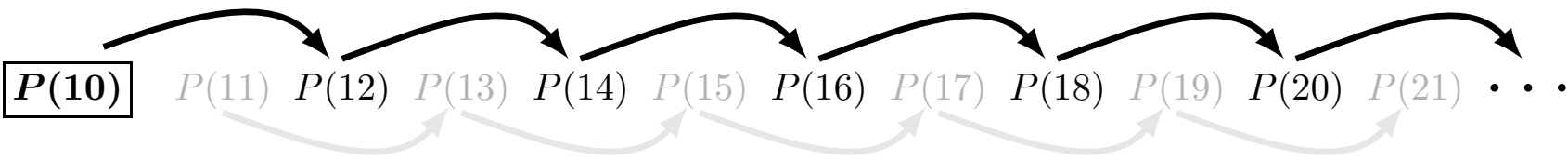
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Suppose $P(n)$ is T. Consider $P(n + 2) : (n + 2)^3 < 2^{n+2}$?

$$\begin{aligned} (n + 2)^3 &= n^3 + \mathbf{6}n^2 + \mathbf{12}n + \mathbf{8} \\ &< n^3 + \mathbf{n} \cdot n^2 + \mathbf{n}^2 \cdot n + \mathbf{n}^3 && (n \geq 10 \rightarrow 6 < n; 12 < n^2; 8 < n^3) \\ &= 4n^3 < 4 \cdot 2^n = 2^{n+2} && (P(n) \text{ gives } n^3 < 2^n) \end{aligned}$$

$$P(n) \rightarrow P(n + 2).$$

Base case. $P(10) : 10^3 < 2^{10}$ ✓



A Tricky Induction Problem

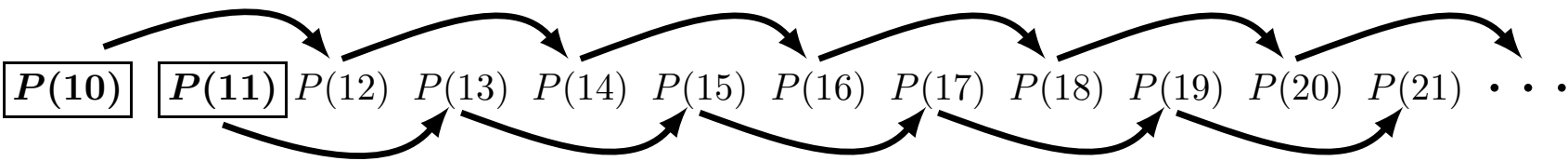
$$P(n) : n^3 < 2^n, \quad \text{for } n \geq 10. \qquad \text{(Exercise 6.2)}$$

Suppose $P(n)$ is T. Consider $P(n + 2) : (n + 2)^3 < 2^{n+2}$?

$$\begin{aligned} (n + 2)^3 &= n^3 + \mathbf{6}n^2 + \mathbf{12}n + \mathbf{8} \\ &< n^3 + \mathbf{n} \cdot n^2 + \mathbf{n}^2 \cdot n + \mathbf{n}^3 && (n \geq 10 \rightarrow 6 < n; 12 < n^2; 8 < n^3) \\ &= 4n^3 < 4 \cdot 2^n = 2^{n+2} && (P(n) \text{ gives } n^3 < 2^n) \end{aligned}$$

$$P(n) \rightarrow P(n + 2).$$

Base cases. $P(10) : 10^3 < 2^{10}$ ✓ and $P(11) : 11^3 < 2^{11}$ ✓



Leaping Induction

Induction. One base case.

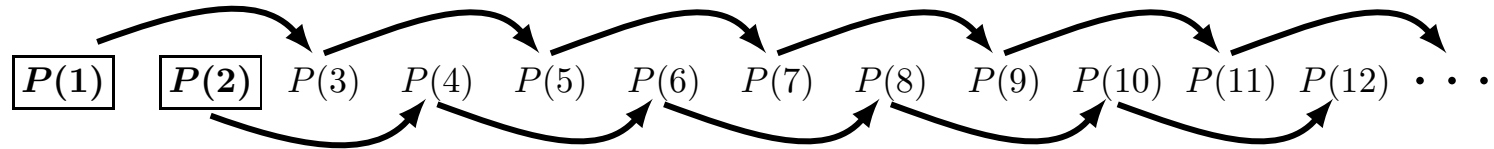
$$\boxed{P(1)} \rightarrow P(2) \rightarrow P(3) \rightarrow P(4) \rightarrow P(5) \rightarrow \dots$$

Leaping Induction

Induction. One base case.

$$\boxed{P(1)} \rightarrow P(2) \rightarrow P(3) \rightarrow P(4) \rightarrow P(5) \rightarrow \dots$$

Leaping Induction. More than one base case.

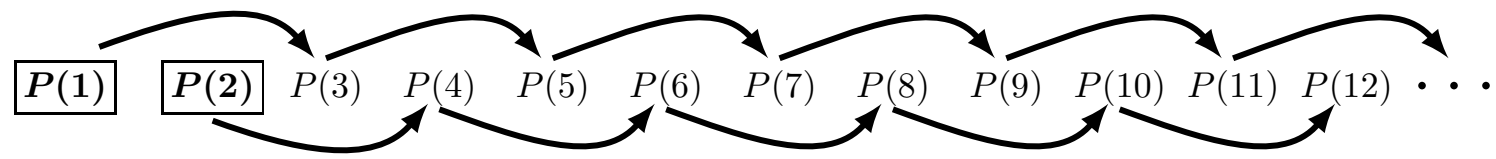


Leaping Induction

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Leaping Induction. More than one base case.



Example. Postage greater than 5¢ can be made using 3¢ and 4¢ stamps.

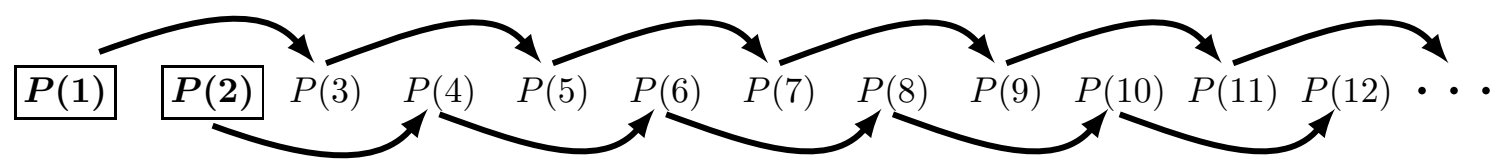
3¢	4¢	5¢	6¢	7¢	8¢	9¢	10¢	11¢	12¢	...
3	4	–	3,3	3,4	4,4	3,3,3	3,3,4	3,4,4	4,4,4	...

Leaping Induction

Induction. One base case.

$$\boxed{P(1)} \rightarrow P(2) \rightarrow P(3) \rightarrow P(4) \rightarrow P(5) \rightarrow \dots$$

Leaping Induction. More than one base case.



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3¢	4¢	5¢	6¢	7¢	8¢	9¢	10¢	11¢	12¢	...
3	4	–	3,3	3,4	4,4	3,3,3	3,3,4	3,4,4	4,4,4	...

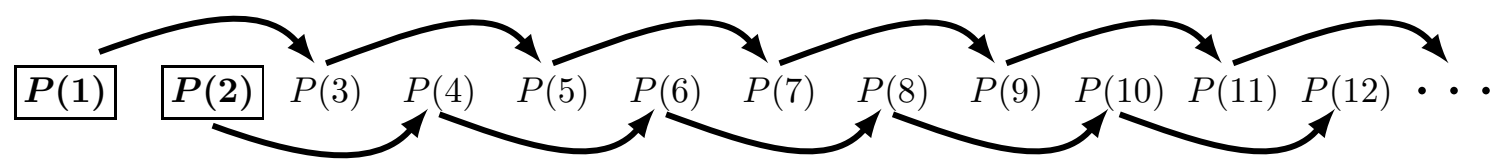
$P(n)$: Postage of n cents can be made using only 3¢ and 4¢ stamps.

Leaping Induction

Induction. One base case.

$$\boxed{P(1)} \rightarrow P(2) \rightarrow P(3) \rightarrow P(4) \rightarrow P(5) \rightarrow \dots$$

Leaping Induction. More than one base case.



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$P(n)$: Postage of n cents can be made using only 3¢ and 4¢ stamps.

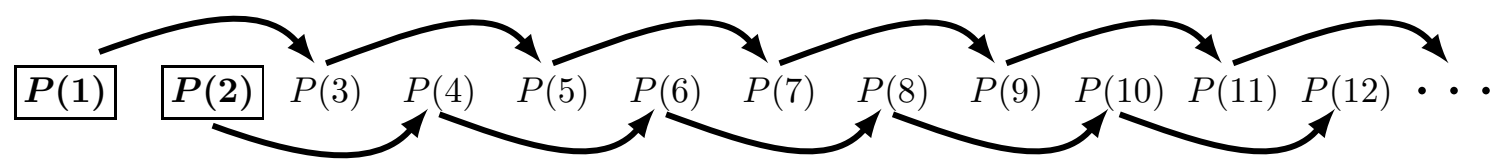
$$P(n) \rightarrow P(n + 3) \qquad \text{(add a 3¢ stamp to } n \text{)}$$

Leaping Induction

Induction. One base case.

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Example. Postage greater than 5¢ can be made using 3¢ and 4¢ stamps.

3¢	4¢	5¢	6¢	7¢	8¢	9¢	10¢	11¢	12¢	...
3	4	–	3,3	3,4	4,4	3,3,3	3,3,4	3,4,4	4,4,4	...

$P(n)$: Postage of n cents can be made using only 3¢ and 4¢ stamps.

$$P(n) \rightarrow P(n + 3) \qquad \text{(add a 3¢ stamp to } n\text{)}$$

Base cases: 6¢, 7¢, 8¢.

Practice. Exercise 6.6

Fundamental Theorem of Arithmetic

$$2015 = 5 \times 13 \times 31.$$

Fundamental Theorem of Arithmetic

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Theorem. (The Primes $\mathcal{P} = \{2, 3, 5, 7, 11, \dots\}$ are the atoms for numbers.)

Suppose $n \geq 2$. Then,

- ❶ n can be written as a product of factors all of which are prime.
- ❷ The representation of n as a product of primes is unique (up to reordering).

$P(n) : n$ is a product of primes.

Fundamental Theorem of Arithmetic

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What's the first thing we do? **TINKER!**

$$2016 = 2 \times 2 \times 2 \times 2 \times 2 \times 3 \times 3 \times 7.$$

Fundamental Theorem of Arithmetic

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Wow! No similarity between the factors of 2015 and those of 2016.

Fundamental Theorem of Arithmetic

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How will $P(n)$ help us to prove $P(n+1)$?

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Much “Stronger” Induction Claim

Do smaller values of n help with 2016? Yes!

$$2016 = 32 \times 63$$

$$P(32) \wedge P(63) \rightarrow P(2016) \quad \text{(like leaping induction)}$$

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Much Stronger Claim:

$Q(n) : 2, 3, \dots, n$ are all products of primes.

$P(n) : n$ is a product of primes.

(Compare)

$$Q(n) = P(2) \wedge P(3) \wedge P(4) \wedge \dots \wedge P(n).$$

Much “Stronger” Induction Claim

Do smaller values of n help with 2016? Yes!

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Much Stronger Claim:

$Q(n) : 2, 3, \dots, n$ are all products of primes.

$P(n) : n$ is a product of primes. (Compare)

$$Q(n) = P(2) \wedge P(3) \wedge P(4) \wedge \dots \wedge P(n).$$

Surprise! The much stronger claim is *much* easier to prove. Also, $Q(n) \rightarrow P(n)$.

Fundamental Theorem of Arithmetic: Proof of Part (i)

$P(n)$: n is a product of primes.

$$Q(n) = P(2) \wedge P(3) \wedge P(4) \wedge \cdots \wedge P(n).$$

Proof. (By Induction that $Q(n)$ is T for $n \geq 2$.)

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Assume $Q(n)$ is T: each of $2, 3, \dots, n$ are a product of primes.

Show $Q(n+1)$ is T: each of $2, 3, \dots, n, n+1$ is a product of primes.

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Show $Q(n+1)$ is T: each of $2, 3, \dots, n, n+1$ is a product of primes.

Since we assumed $Q(n)$, we already have that $2, 3, \dots, n$ are products of primes.

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- $n+1$ is prime. Done (nothing to prove).

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Show $Q(n+1)$ is T: each of $2, 3, \dots, n, n+1$ is a product of primes.

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To prove $Q(n+1)$, we only need to prove $n+1$ is a product of primes.

- $n+1$ is prime. Done (nothing to prove).
- $n+1$ is not prime, $n+1 = k\ell$, where $2 \leq k, \ell \leq n$.

Fundamental Theorem of Arithmetic: Proof of Part (i)

$P(n)$: n is a product of primes.

$$Q(n) = P(2) \wedge P(3) \wedge P(4) \wedge \cdots \wedge P(n).$$

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Show $Q(n+1)$ is T: each of $2, 3, \dots, n, n+1$ is a product of primes.

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To prove $Q(n+1)$, we only need to prove $n+1$ is a product of primes.

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$P(k) \rightarrow k$ is a product of primes.

$P(\ell) \rightarrow \ell$ is a product of primes.

Fundamental Theorem of Arithmetic: Proof of Part (i)

$P(n)$: n is a product of primes.

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To prove $Q(n+1)$, we only need to prove $n+1$ is a product of primes.

- $n+1$ is prime. Done (nothing to prove).
- $n+1$ is not prime, $n+1 = k\ell$, where $2 \leq k, \ell \leq n$.

$P(k) \rightarrow k$ is a product of primes.

$P(\ell) \rightarrow \ell$ is a product of primes.

$n+1 = k\ell$ is a product of primes and $Q(n+1)$ is T.

Fundamental Theorem of Arithmetic: Proof of Part (i)

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- $n+1$ is not prime, $n+1 = k\ell$, where $2 \leq k, \ell \leq n$.

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$P(\ell) \rightarrow \ell$ is a product of primes.

$n+1 = k\ell$ is a product of primes and $Q(n+1)$ is T.

3: By induction, $Q(n)$ is T $\forall n \geq 2$. ■

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Ordinary Induction

Base Case

Prove $P(1)$

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Strong induction is always easier.

Every $n \geq 1$ Has a Binary Expansion

$P(n)$: Every $n \geq 1$ is a sum of distinct powers of two (its binary expansion).

$$22 = 2^1 + 2^2 + 2^4.$$

$$(22_{\text{binary}} = \overset{2^4}{1} \overset{2^3}{0} \overset{2^2}{1} \overset{2^1}{1} \overset{2^0}{0}.)$$

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If n is odd, then multiply each term in the expansion of $\frac{1}{2}(n+1)$ by 2 to get $n+1$.

$$\text{e.g. } 24 = 2 \times (\underbrace{2^2 + 2^3}_{12}) = 2^3 + 2^4$$

Exercise. Give the formal proof by strong induction.

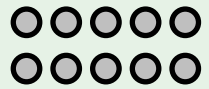
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Tournament rankings, greedy or recursive algorithms, **games of strategy**,

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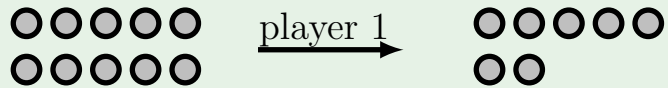
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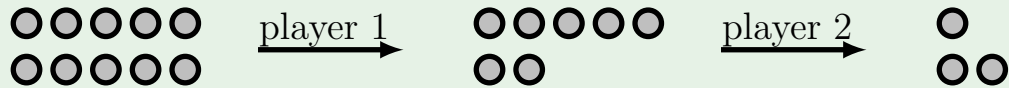
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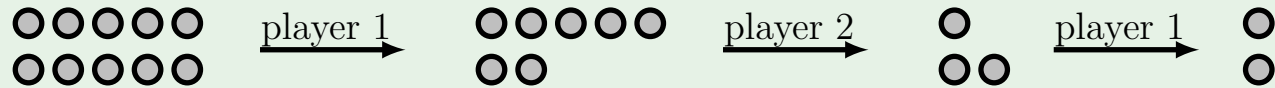
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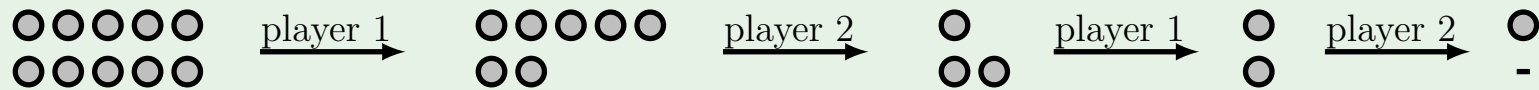
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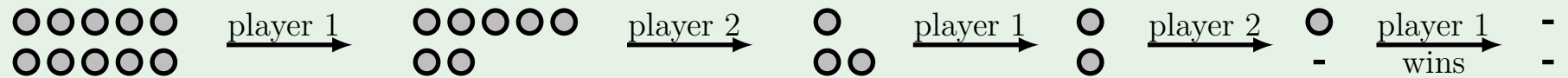
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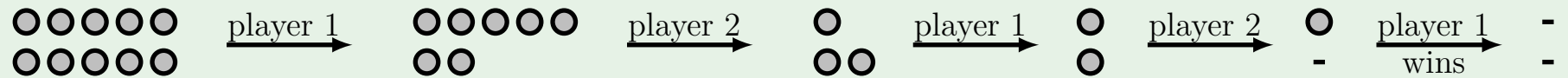
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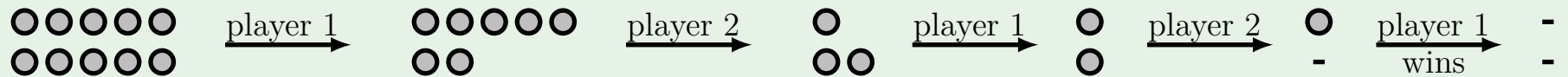


$P(n)$: Player 2 can win the game that starts with n pennies in each row.

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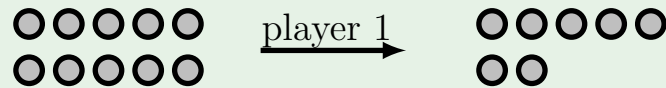
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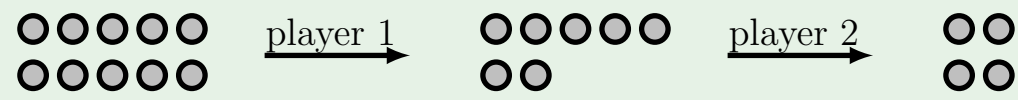
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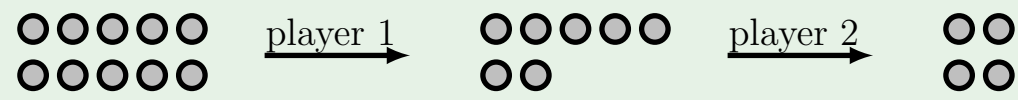
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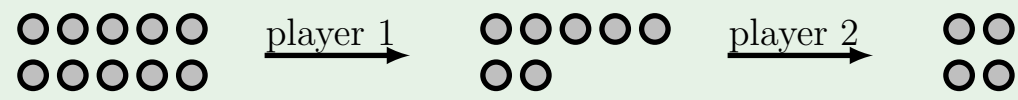
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Equalization strategy:



Player 2 can always return the game to *smaller* equal piles.
If Player 2 wins the smaller game, Player 2 wins the larger game. That's strong induction!

- Exercise.** Give the full formal proof by strong induction.
- Challenge.** What about more than 2 piles. What about unequal piles. (Problem 6.20).

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Checklist When Approaching an Induction Problem.

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You may fail because you try to prove too much. Your $P(n + 1)$ is too heavy a burden. You may fail because you try to prove too *little*. Your $P(n)$ is too weak a support. You must balance the strength of your claim so that the support is just enough for the burden. — G. Polya (paraphrased).

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- Determine and prove the base cases.
- Prove $P(n+1)$ in the induction step. You *must* use the induction hypothesis.